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STATE OF HAWAII  
DEPARTMENT OF THE ATTORNEY GENERAL  
CRIME PREVENTION AND JUSTICE ASSISTANCE DIVISION  
235 S. BERETANIA STREET, SUITE 401  
HONOLULU, HAWAII 96813  
(808) 586-1150  
ag.hawaii.gov/cpja

May 23, 2016

**TO:** Interested State and County Government Agencies

**FROM:** Shaleigh Tice, Grants and Planning Branch Chief *St.*

**SUBJECT:** *Solicitation of Applications for the FY 2015 Edward Byrne Memorial Justice Assistance Grant (JAG)*

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Applications from state and county government agencies are being solicited (for projects to be considered) for funding under the FY 2015 *Edward Byrne Memorial Justice Assistance Grant (JAG) Program* that is administered by the Bureau of Justice Assistance (BJA), U.S. Department of Justice. Approximately \$860,135 is available.

The purpose of the JAG grant is to allow states and local governments to support a broad range of activities to prevent and control crime and to improve the criminal justice system.

Applications must fall under one (1) of six (6) authorized JAG Federal program areas.

- Law enforcement programs
- Prosecution and court programs
- Corrections and community corrections programs
- Drug treatment and enforcement programs
- Planning, evaluation, and technology improvement programs
- Prevention and education programs

Applications should also focus on the following State priority areas:

- A comprehensive response to sex assault or elder abuse;
- Reducing drug threats and drug related crimes;
- Reducing property crime;
- Reducing recidivism rates or improving reentry efforts;
- Improving forensic science capabilities;
- Improving records management systems and integrated justice information sharing;
- Reducing juvenile offenses utilizing a coordinated response; or
- Improving language access within the criminal justice system.

Furthermore, if applicable, applications shall indicate if they are:

- An evidence-based initiative, and/or
- Incorporating multi-agency collaboration to improve the criminal justice system.

As in past years, all applications must be submitted through the agency head – e.g., director, chief of police, prosecuting attorney, administrative director of the courts, etc. If an agency submits more than one application, the agency must rank the applications from high to low priority with “1” being the highest priority. Please be sure to read the entire solicitation and use the 2015 forms found on our website at <http://ag.hawaii.gov/cpja/gp/>. All agencies also must provide their DUNS number, as well as indicate other funding sources the proposed project is seeking and the amount of funds being sought.

**The application deadline is: 4:00 p.m. on June 28, 2016**

If there are questions, please contact Dawn Martin, Criminal Justice Planning Specialist at (808) 586-1164 or [Dawn.M.Martin@hawaii.gov](mailto:Dawn.M.Martin@hawaii.gov).



## EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT (JAG) FY 2015 APPLICATION FOR GRANT INSTRUCTIONS

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**APPLICATION DEADLINE:** June 28, 2016 - 4:00 p.m.

Applications must be received (not postmarked) by the above date. Late submissions will not be accepted. Fax or e-mail copies will not be accepted.

The JAG program is the primary provider of federal criminal justice funding to State and local jurisdictions. JAG funds support all components of the criminal justice system, and may include multi-jurisdictional drug and gang task forces, courts, corrections, treatment, and justice information sharing initiatives. JAG funded projects may also address crime through the provision of services directly to individuals and/or communities and by improving the effectiveness and efficiency of criminal justice systems, processes, and procedures.

The JAG program requires that the State passes through a pre-determined percentage (variable pass through) of funds to benefit county agencies. Hawaii's variable pass through rate is 46.4%. If a project administered by a State agency wants to be counted as a variable pass through for JAG purposes, it may do so if the project will directly benefit a unit(s) of local government, and if each local jurisdiction to benefit voluntarily signs a waiver. This waiver must certify that the local jurisdiction recognizes that the funds in question are set aside for local government use; believes that the proposed project will provide a direct local benefit; and agrees that funding the project at the State level is in the best interests of the unit of local government.

**Eligibility:**

Applicants are limited to State and county government agencies and applications related to criminal justice functions and support.

Projects that received a total of 48 months of JAG funding are not eligible. The multi-jurisdictional drug interdiction task forces are exempt from the 48 month cap. The JAG funds are to support and pilot new initiatives related to improving the criminal justice system response to crime.

New initiatives are defined as projects that enhance and/or improve the criminal justice system.

Government agencies can partner with non-governmental agencies if both determine that such partnership is mutually beneficial and supports a JAG program area. However, the applying agency and hence the fiscal and program agent must be a government agency.

**Match Requirement:**

There is no match requirement for these funds.

**Length of Support:**

Applicants may apply for a maximum of 12 months of funding per project.

**Funds Available:**

Funds are anticipated to be available starting January 1, 2017. Preferably, project budgets should range from \$25,000 to \$175,000. Earlier start dates may be negotiated if needed.

Federal funds must be used to supplement existing funds for program activities and cannot replace or supplant nonfederal funds that have been appropriated for the same purpose.

Applications must fall under one of six authorized JAG program areas:

- Law enforcement programs
- Prosecution and court programs
- Corrections and community corrections programs
- Drug treatment and enforcement programs
- Planning, evaluation, and technology improvement programs
- Prevention and education programs

Applications should also focus on the following priority areas:

- A comprehensive response to sex assault or elder abuse;
- Reducing drug threats and drug related crimes;
- Reducing property crime;
- Reducing recidivism rates or improving reentry efforts;
- Improving forensic science capabilities;
- Improving records management systems and integrated justice information sharing;
- Reducing juvenile offenses utilizing a coordinated response;  
or
- Improving language access within the criminal justice system.

Furthermore, if applicable, applications shall indicate if they are:

- an evidence-based initiative, and/or
- incorporating multi-agency collaboration to improve the criminal justice system.

**Instructions and Forms:** Available on-line <http://ag.hawaii.gov/cpja/gp/>

**Submit Applications to:** Department of the Attorney General  
Crime Prevention and Justice Assistance Division  
235 S. Beretania Street, Suite 401  
Honolulu, HI 96813

The Application (Parts I Title Page, II Description of the Project, and III Budget Detail and Explanation) must be saved on Microsoft Word 2003 and submitted on a CD. In addition to the CD, submit one original and four copies of the Application. If you are submitting multiple applications, please submit one CD for each Application.

**Contact Information:** If you have any questions, please contact Dawn Martin at (808) 586-1164 or at [Dawn.M.Martin@hawaii.gov](mailto:Dawn.M.Martin@hawaii.gov).

**FY 2015 JAG  
SCORING**

Department of the Attorney General

Applications will be scored according to the following:

Problem Statement.....	15 points
Strategy.....	40 points
The strategy must include goals, objectives, and activities, and information on the project’s organization and management, personnel, personnel biographies (if available), and participating agencies that are/will be involved.	
Performance Indicators/Outcome Measures.....	20 points
Probability to Improve the Criminal Justice System and Sustainability Plan.....	10 points
Budget Detail and Explanation.....	15 points
<b>Total Points</b>	<b>100 points</b>

See Appendix A: Application Scoring Sheet FY 2015 Justice Assistance Grant (JAG)

**FY 2015 JAG  
CHECKLIST FOR APPLICATION FOR GRANT**

Department of the Attorney General

Parts I, II, and III of the application for grant must be submitted together. Check that the following have been completed.

1. **Part I. Title Page** (Form AG/CPJAD #1)
  - a) items A to N are completed \_\_\_\_\_
  
2. **Part II. Description of Project** (Form AG/CPJAD #1(a))
  - a) problem statement includes supporting data or facts \_\_\_\_\_
  - b) goals are clearly defined \_\_\_\_\_
  - c) objectives are specific and measurable \_\_\_\_\_
  - d) activities demonstrate how objectives will be accomplished \_\_\_\_\_
  - e) schedule and timeline are included \_\_\_\_\_
  - f) defines the agency(s) and personnel that will manage and work on the project \_\_\_\_\_
  - g) performance indicators/outcome measures are linked to the goals/objectives \_\_\_\_\_
  - h) there is probability that the project can improve the criminal justice system \_\_\_\_\_
  - i) sustainability plan is included \_\_\_\_\_
  
3. **Part III. Budget Detail and Explanation** (Form AG/CPJAD #1(b))

Provide as much detail as possible, e.g. travel costs should be itemized by the number of trips and estimated cost per trip; equipment costs should contain descriptions and costs of specific items; and overtime should include an estimate of the number of hours needed and the average salary per hour.

  - a) items A through H total the amount of the grant application \_\_\_\_\_
  - b) budget explanation completed and attached \_\_\_\_\_
  - c) budget clearly supports the project's objectives and activities \_\_\_\_\_
  
4. The Application (Parts I Title Page, II Description of the Project, and III Budget Detail and Explanation) **must be saved on Microsoft Word 2003 and submitted on a CD**. If you are submitting multiple applications, please submit one CD for each Application. \_\_\_\_\_
  
5. In addition to the CD, submit **one original and four copies of the Application**. \_\_\_\_\_

## PART I. TITLE PAGE

The following instructions are for completing the “Application for FY 2015 Edward Byrne Memorial Justice Assistance Grant (JAG), Part I, Title Page.” A Microsoft Word 2003 template is available to complete the Title Page. (AG/CPJAD #1)

- A. **PROJECT TITLE.** Enter a brief descriptive title of no more than four words. An application for second or subsequent year funding must retain the same title as the original application.
- B. **APPLICANT AGENCY.** Enter the official title of the State or County agency requesting the grant.
- C. **System for Award Management (SAM) and DUNS No.** Registration with the System for Award Management (SAM) and having a DUNS Number are requirements of the Edward Byrne Memorial JAG program and hence, are mandatory requirements for all applying State and County government agencies.

### **SAM:**

The SAM replaces the Central Contractor Registration (CCR) database as the repository for standard information about federal financial assistance applicants, recipients, and sub-recipients. The SAM is the official U.S. Government system that consolidated the capabilities of CCR/FedReg, ORCA, and EPLS. There is no cost to registrants for registering on the SAM website, <http://www.sam.gov>. Check to see if your agency is already registered with SAM. The DUNS number provided in your application **must match** the number in the SAM.

If your agency is registered with SAM, then check “Yes.” Please note that Applicants must update or renew their SAM registration annually to maintain an active status. Information about registration procedures can be accessed at the SAM website. If your agency has not registered, then check “No.”

***NOTE:** If your application is selected for an award, all Applicants must have an active SAM registration in order to contract.*

### **DUNS NO.:**

A Data Universal Numbering System (DUNS) number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving Federal funds. The identifier is used for tracking purposes and to validate address and point of contact information for federal assistance applicants, recipients, and subrecipients. Enter the DUNS number. Check with your fiscal or administrative office as to whether your agency/county has a DUNS number. For more information on the DUNS number, go to <http://fedgov.dnb.com/webform/displayHomePage.do>.

***NOTE:** Applicants must provide the Agency’s DUNS number at the time the application is submitted to the Department of the Attorney General.*

- D. APPLICATION RANKING WITHIN AGENCY.** If your agency is submitting more than one application, then the applications must be ranked by the head of the applicant agency (e.g., director, chief of police, prosecuting attorney, administrative director of the courts, etc.). Rank each application from high to low with “1” being the highest priority.
- E. ADDRESS.** Enter the mailing address of applicant agency.
- F. PRIMARY PLACE OF PERFORMANCE.** Enter the primary location where services are rendered or where a majority of your project activities occur. Enter only one City, State and Zip Code, including the 4 digits after the zip code, of the primary location.
- G. PROJECT PERIOD.** Enter the expected starting and ending dates of the project. Duration of a project is not to exceed 12 months of funding.
- H. AUTHORIZED PROGRAM AREA.** Select one of the appropriate program areas:
- Law enforcement programs.
  - Prosecution and court programs.
  - Corrections and community corrections programs.
  - Drug treatment and enforcement programs.
  - Planning, evaluation, and technology improvement programs.
  - Prevention and education programs.
- I. PRIORITY AREA.** Select one of the appropriate priority areas:
- A comprehensive response to sex assault or elder abuse.
  - Reducing drug threats and drug related crimes.
  - Reducing property crime.
  - Reducing recidivism rates or improving reentry efforts.
  - Improving forensic science capabilities.
  - Improving records management systems and integrated justice information sharing.
  - Reducing juvenile offenses utilizing a coordinated response.
  - Improving language access within the criminal justice system.
- Furthermore, applications shall indicate if they are:
- An evidence-based initiative,
  - Incorporating multi-agency collaboration to improve the criminal justice system,
  - Both an evidence-based initiative and incorporating multi-agency collaboration.
  - Neither an evidence-based initiative or incorporating multi-agency collaboration.
- J. TYPE OF APPLICATION.** Indicate with an “X” whether this is a new or continuation application with the Department of the Attorney General.



- K. TOTAL PROJECT AMOUNT.** Enter the total grant cost that the Applicant is applying for. Round to the nearest dollar. Application requests should range between a minimum of \$25,000 to a maximum of \$175,000. However, applications that are less than \$25,000 or over \$175,000 will also be considered.
- L. OTHER FUNDING SOURCES.** Indicate whether an application has been or will be submitted to other funding sources. Provide the name of the source agency and the amount applying for or receiving.
- M. PROJECT DIRECTOR.** Enter the name, title, address, telephone and fax numbers, and e-mail address of the person who will be directly responsible for administering the project.
- N. FINANCIAL OFFICER.** Enter the name, title, address, telephone and fax numbers, and e-mail address of the person who will be responsible for the fiscal matters of the project. The Financial Officer should be someone other than the Project Director.

## PART II. DESCRIPTION OF PROJECT

### Part II. Description of Project - Required Format

Font	Times New Roman
Font size	12 point
Margins	1-inch
Spacing	Single

This section is the most important part of the application because it not only describes what will be done and who will do it, but it also justifies the need for the project. The information requested in Sections A to I below must be described in detail. Please follow this order in describing the project. A Microsoft Word 2003 template is available to complete this section (AG/CPJAD (#1a)).

#### A. **THE PROBLEM**

Describe the nature and scope of the existing problem, including the present status of activities by the Applicant or other law enforcement agencies regarding the problem. This section should clearly justify the reasons why the project is needed.

If this is a continuation project, describe results of previous project period.

The following outline may be used as a guide:

1. What specific problem(s) and/or target population will the project address?  
(Example: an increasing incidence of drug trafficking, an increase in domestic violence complaints, overcrowded prison facilities, overburdened court dockets, etc.)
2. What is the scope of the problem?
  - a. Geographical
    - Is the problem concentrated in one location or in several with similar characteristics?
    - Is the problem countywide?
    - Is the problem statewide? Is the project either a statewide or model solution?
  - b. Criminal Justice System
    - What segments of the criminal justice system are affected by this problem?

3. What is the magnitude of the problem?
  - a. Include all available pertinent data (e.g., number of arrests, number of agency referrals, caseloads, clearance rates, etc.) as well as any other indicators that further define the problem.
  - b. How many people are currently affected by the problem?
4. How have county or State agencies dealt with this problem in the past? What were the limitations in that approach?
5. Why is it important that the problem be addressed at this time?
6. If this is a continuation project, include a brief statement discussing the current problems in light of previous years' accomplishments.

**B. GOALS AND OBJECTIVES**

This section should be limited to a precise statement of the specific project goals and objectives that will help to solve or overcome the problem(s) described above.

The following may serve as a guide with regard to definition of terms and contents:

1. A **goal** may be defined as a general statement of an undesirable condition to be improved, or desired state of affairs toward which to strive.  
Examples:
  - Crime-oriented example: To reduce the sales and distribution of controlled substances.
  - System improvement example: To improve the delivery of substance abuse treatment services to criminal justice clients.
2. An **objective** is a specific statement of a **measurable** end condition to be achieved within a **stated period** of time.  
Examples:
  - Crime-oriented example: To increase the number of prescription forgeries detected during the project period by 20% compared to the previous year.
  - System improvement example: Twenty percent of the project participants, who receive substance abuse treatment, will not be rearrested during the project period.

**C. PROJECT ACTIVITIES**

Provide a clear, detailed description of the proposed project activities. Each objective should have at least one activity to describe how it will be addressed in the project. The type of information should include, but not be limited to, the following, as applicable:

1. A description of the **strategy** or method to achieve your objectives and the reasons for selecting the particular approach.
2. A description of the **staffing**, including number and type.
3. A description of the **target population** to be served and the criteria for selecting this group.
4. A description of any special **training or technical assistance** which will be required to complete the actual work, including the manner in which this training/technical assistance is to be utilized.
5. A description of **equipment** to be purchased, including a justification of the need for equipment and an explanation of its proposed use.
6. A project **schedule and timeline** detailing what will be accomplished at each phase, including the division of labor and the estimated time intervals involved and when purchases for goods and services will be conducted.
7. An outline of **available resources**.
8. A description of the specific gains, benefits, improvements, increased efficiencies, changes or other planned **impact** on the existing problem area, operating system or criminal justice system that are expected to occur as a result of the project.

**D. PROJECT ORGANIZATION AND MANAGEMENT**

Describe the proposed duties and responsibilities of the Project Director. Indicate to whom the Director reports and the manner in which project accountability will be maintained.

**E. PERSONNEL**

If the project requires the employment of full- or part-time personnel, indicate the positions to be filled and the duties or responsibilities of each.

**F. BRIEF PERSONNEL BIOGRAPHIES**

Where pertinent, include a brief resume or biography for each person selected to work on the project or indicate that the resume will be submitted when the staff is hired. Provide the names(s) of staff, if already known.

**G. PARTICIPATING AGENCIES**

List all participating State or county agencies or organizations, and describe the responsibilities of each. Include letters of intent, if applicable.

**H. PERFORMANCE INDICATORS/OUTCOME MEASURES**

Describe the performance indicators/outcome measures that the project will use. The performance indicators/outcome measures identify the data that will be collected to determine whether the goals and objectives have been met. Performance indicators/outcome measures must have a logical link to project goals, objectives, and activities and provide an explicit measure of effects or results.

Identify the individual(s) responsible for the data collection and how the data will be collected.

The following are performance indicators/outcome measures in the context of a sample objective and related training activities:

- Crime-oriented example: To increase the number of prescription forgeries detected during the project period by 20% compared to the previous year.

**Activities linked to Objective:**

Implement a specialized unit/taskforce dedicated to prescription fraud detection. Send detectives to attend specialized training to improve the investigations of prescription drug forgery cases and improve knowledge on controlled/non-controlled substances, pharmacy regulations and laws, understanding types of forged and altered prescriptions, diversion tactics, etc. All of the unit detectives will complete a post-training assessment. Develop and implement education and outreach strategies on identifying and reporting thefts and fraudulent use of prescription drugs with community members including healthcare professionals, pharmacists, parents and educators.

**Performance Indicators/Outcome Measures:**

As linked to training activities \*\*

- Number of detectives received training.
- Type of training received/dates/location/trainer.
- Results of the post-training assessments.
- Number of forgery cases investigated.
- Outcomes of investigations.

- Number of police calls related to illicit prescription drugs.
- Number of outreach and education sessions conducted.
- Date of outreach/education sessions/number of participants/results of sessions.

The Project Director will be in charge of collecting the data needed to report on these indicators. Reports used include training certificates, attendance sheets, post training assessment results form, and an internal database used to identify forgery cases assigned and detected.

- System improvement example: Twenty percent of the project participants, who receive substance abuse treatment, will not be rearrested during the project period.

**Activities linked to Objective:**

Participants will be assigned to a substance abuse treatment group. Staff working with the participants will regularly check to ensure that participants are not picking up new charges or being rearrested. Staff will also receive training on treatment engagement and how to reduce recidivism. Participants will report regularly to the treatment workers as to their recidivism progress.

**Performance Indicators/Outcome Measures:**

As linked to training activities \*\*

- Number of participants in substance abuse treatment.
- Number of participants that were rearrested.
- Number of treatment staff receiving training.
- Type of training received/dates/location/trainer.

The Project Director will be responsible in collecting the data needed to report on these indicators. Data will come from treatment attendance sheets, eCrim reports, re-arresting forms, and training attendance certificates.

(\*\*) Performance indicators/outcome measures should cover all major activities that result in the desired objective.

NOTE: By submitting an application, the Applicant agrees to participate in a data collection process measuring program outputs and outcomes. The data elements for this process will be outlined by the Department of the Attorney General – Crime Prevention and Justice Assistance Division or its designee.

**I. PROBABILITY TO IMPROVE THE CRIMINAL JUSTICE SYSTEM AND SUSTAINABILITY PLAN**

Explain how the project anticipates improving the criminal justice system (benefits to the community), as well as the plan for sustainability beyond JAG project funding.

### **PART III. BUDGET DETAIL AND EXPLANATION**

Round off all budgeted amounts to the nearest dollar. All budget items must fit in one of the eight budget categories. A Microsoft Word 2003 template is available to complete Part III. Budget Detail and Explanation. (AG/CPJAD (#1b))

#### **A. SALARIES AND WAGES**

List each position, indicating the monthly salary of each, and the percentage or ratio of time that they will be compensated. Overtime and standby cost can be listed here.

NOTE: Overtime cost cannot exceed 30% of the total project budget. An individual shall not incur overtime with project funds in excess of 10% of the individual's gross annual salary.

#### **B. FRINGE BENEFITS**

1. Show employee benefits and list the percentage breakdown of the employee benefits on a separate sheet. The fringe benefit rate can only include allowable items as approved by the Department of the Attorney General. The allowable fringe benefits include:

- Pension Accumulation
- Pension Administration
- Retiree Health Insurance
- Employees' Health Fund
- Workers' Compensation
- Unemployment Compensation
- Social Security
- Medicare
- Other Post-Employment Benefits

2. List the fringe benefit cost per position(s).

NOTE: Vacation payout is not an allowable fringe benefit.

Fringe benefits on overtime hours are limited to FICA, Workers' Compensation, and Unemployment Compensation.

#### **C. CONSULTANTS/CONTRACTS**

1. List type of consultant/contract to be selected and total estimated costs including consultant fee, travel costs, per diem (meals and lodging), etc. Indicate the estimated length of the consultant/contract services, in days, weeks, or months. In the budget explanation section, detail the scope of services to be performed and the basis for calculating the cost.

2. Applicants are encouraged to promote free and open competition in awarding contracts.

**NOTE:** For Consultant Rates. **Consultant services cannot exceed a rate of \$650 (excluding travel and subsistence costs) for an 8-hour day, or \$81.25/hour, without written PRIOR APPROVAL** from the Department of the Attorney General. Prior approval requests require additional justification. The following is the policy in regard to compensation of various classifications of consultants who perform like-type services.

- Compensation for individual consultant services is to be reasonable and consistent with that paid for similar services in the marketplace.
- An 8-hour day may include preparation, evaluation, and travel time in addition to the time required for actual performance.
- Please note, however, that this does not mean that the rate can or should be the maximum limit (\$650) for all consultants.
- Rates above the established maximum threshold rate will be reviewed on a case-by-case basis. Justification for exceeding the established maximum rate may include where a rate is established through a competitive bidding process.
- **Consultants Associated with Educational Institutions.** In order to calculate the rate of compensation for consultants associated with and employed by educational institutions, divide the total compensation projected for 12 months by 260.
  - If the resulting rate of compensation exceeds the maximum consultant rate established by the grant-making agency, written prior approval must be obtained.
- **Consultants Employed by State and Local Government.** Compensation for these consultants will only be allowed when the unit of government will not provide these services without cost.
  - If a State or local government employee has been contracted to provide services that are related to his or her employment with the State or local government, the rate of compensation is not to exceed the daily salary rate for the employee paid by the unit of government.
  - If the State or local government employee has been contracted to provide services that are unrelated to his or her employment with the State or local government, then the rate of compensation is based on the necessary and reasonable cost principles which cannot exceed the \$650 threshold without prior written approval.



**D. TRANSPORTATION AND SUBSISTENCE**

1. Show travel costs by estimating the number of trips, multiplied by the estimated cost per trip and the number of people traveling.
2. Itemize per diem, ground transportation and other related travel costs separately.
3. Explain proposed destination(s) and purpose(s) of trip(s) on the budget explanation page.

**NOTE:** Prior approval by the Department of the Attorney General is required for all out-of-state travel and Applicants must follow the State or County (for County agencies) established travel rates.

**E. OFFICE SUPPLIES**

Generally describe the type of materials required by the project, such as postage, printing, copying and other expendable materials, and provide an estimated cost.

**F. EQUIPMENT**

List non-expendable items to be purchased. Non-expendable equipment is tangible property having a useful life of more than two years. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances.

**Body Armor Certification:** JAG funds may be used to purchase vests for an agency, but they may not be used as the 50% match funds to pay for that portion of the ballistic-resistant vests that is not covered by Bulletproof Vest Partnership (BVP) funds. Vests purchased with JAG funds may be purchased at any threat level, make, or model from any distributor or manufacturer, as long as the vests have been tested and found to comply with the latest applicable National Institute of Justice (NIJ) ballistic or stab standards. Applicants who wish to purchase vests with JAG funds must certify that law enforcement agencies receiving vests have a written “mandatory wear” policy in effect. FAQs related to the mandatory wear policy and certifications can be found at [www.bja.gov/Funding/JAGFAQ.pdf](http://www.bja.gov/Funding/JAGFAQ.pdf).

**DNA Testing of Evidentiary Materials and Upload of DNA Profiles to a Database:** If JAG funds will be used for DNA testing of evidentiary materials, any resulting eligible DNA profiles must be uploaded to the Combined DNA Index System (CODIS), the national DNA database operated by the FBI by a government DNA lab with access to CODIS. No profiles generated with JAG funding may be entered into any other non-governmental DNA database without prior express written approval from the Department of the Attorney General. In addition, funds may not be used for purchase of DNA equipment and supplies when the resulting DNA profiles from such technology are not accepted for entry into CODIS.

## **G. OTHER COSTS**

Specify any other costs not covered by cost elements listed above such as helicopter rental, confidential funds and office rent. In the budget explanation section, provide the basis of the computation. For example, provide the square footage and the cost per square foot for rent, or provide a monthly rental cost and the number of months to rent.

**NOTE:** Land acquisition and construction; firearms; standard police uniforms and gear; military arsenal, vehicles, vessels, and aircraft; fundraising; lobbying; and the purchase of any food and/or beverage for any meeting, conference, training, or other event are unallowable costs.

All conferences (defined broadly to include meetings, retreats, seminars, symposiums, events, and group training activity) conducted by Applicants must be held in compliance with conference cost thresholds and limitations for meeting/room audio visual services, logistical planner and programmatic planner limitations. Please refer to OJP's Policy and Guidance for Conference Approval, Planning and Reporting at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm>

## **H. INDIRECT COSTS\***

Indirect costs are allowed only under the following circumstances:

- a. Applicant has a current, federally approved indirect cost rate.
  - Applicants who elect to utilize their indirect cost rate must attach a copy of the federally approved indirect cost rate agreement to the application.

**OR**

- b. Applicant is eligible to use and elects to use the "De Minimis" Indirect Cost Rate described in the Part 200 Uniform Requirements as set out at 2 C.F.R. 200.414(f).
  - In order to use the "De Minimis" Indirect Cost Rate, Applicants must:
    - Submit a signed Certification of 10% De Minimis Indirect Cost Rate (Appendix B, AG/CPJAD #37). If the Applicant elects the "De Minimis" method, costs must be consistently charged as either indirect or direct costs, but may not be double charged or inconsistently charged as both.

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\* Indirect costs are costs of an organization that are not readily assignable to a particular project, but are necessary to the operation of the organization and the performance of the project. Such cost are generally identified with the organization's overall operation and are further described in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200 as adopted and supplemented by the Department of Justice in 2 C.F.R. Part 2800 (the "part 200 Uniform Requirement"). Examples of indirect costs include the cost of operating and maintaining facilities, depreciation, and administrative salaries.

**NOTE:** If an applying agency chooses to use the “De Minimis” Indirect Cost Rate, then it must be used consistently for all federal awards until such time as the Applicant chooses to negotiate a federally approved indirect cost rate.

- A breakdown of the Applicant’s Modified Total Direct Costs (MTDC) must be included and submitted with the proposed budget. See attached certification (Appendix B, AG/CPJAD #37) for definitions of MTDC.
- Applicants that do not have a federally approved rate may request one through their cognizant federal agency, which will review all documentation and approve a rate for the Applicant organization, or, if the Applicant’s accounting system permits, costs may be allocated in the direct cost categories. For the definition of Cognizant Federal Agency, see the “Glossary of Terms” in the [Financial Guide](#). For assistance with identifying your cognizant agency, please contact the Customer Service Center at 1-800-458-0786 or at [ask.ocfo@usdoj.gov](mailto:ask.ocfo@usdoj.gov).

## **I. TOTAL PROJECT COSTS**

Total budgeted amounts from items A to H.

**NOTE:** Refer to the 2015 DOJ Grants Financial Guide at <http://ojp.gov/financialguide/DOJ/> for more information on allowable/unallowable cost.

## **J. BUDGET EXPLANATION**

1. On a separate page after the budget detail, provide the budget explanation. The cost of the budgeted items should be reasonable and the items necessary for the execution and completion of the activities listed in Part II, Description of the Project.
2. The budget explanation should reflect how the expenditures will support the project activities and be listed in the same order as the budget detail.

Examples:

*The Salary and Fringe Benefits will support the 2.5 FTE required to staff the program. The annual salary reflects the current starting rate of a Substance Abuse Counselor position, SR 21, Step C. The fringe rate being used is the current state rate.*

*The digital recorder and DVD player will be used to document behavioral and attitude changes in offender’s pre and post-program. Offenders will be videotaped and will use the tapes to refine the skills learned. The videos taken with the digital recorder will also help with staff training and development.*



## POST AWARD INFORMATION

The following attachments are not required at this time. In the event the application is selected to receive an award, then the applicable certificates will need to be signed and submitted. These attachments will be included as part of the contract.

### For all grant recipients:

- A. ACCEPTANCE OF CONDITIONS (AG/CPJAD #14)
- B. ACCEPTANCE OF JAG SPECIAL CONDITIONS (AG/CPJAD #26)
- C. CERTIFICATION OF NON-SUPPLANTING (AG/CPJAD #3)
- D. CERTIFICATION OF NON-DISCRIMINATION (AG/CPJAD #15)
- E. CERTIFICATION (EQUAL EMPLOYMENT OPPORTUNITY PROGRAM)  
(OCR form; expiration date: 5/31/2014)
- F. CERTIFICATION REGARDING DEBARMENT, SUSPENSION INELIGIBILITY,  
AND VOLUNTARY EXCLUSION (OJP Form 4061/1)
- G. CERTIFICATION OF NON-DISCRIMINATION COMPLAINT PROCEDURES  
(AG/CPJAD #30)

### Special Certifications (to be submitted ONLY if applicable to your agency):

- A. CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENT  
(AG/CPJAD #16)  
Required only for State agencies.
- B. CONFIDENTIAL FUNDS CERTIFICATION (AG/CPJAD #18)  
Required only for law enforcement projects with budgeted confidential funds.
- C. CERTIFICATION REGARDING LOBBYING (AG/CPJAD #22)  
Required only for awards of \$100,000 or more.
- D. CERTIFICATE OF 10% DE MINIMIS INDIRECT COST RATE (AG/CPJAD #37)  
Required for eligible projects who elect to charge indirect cost rates and who do not have a federally approved indirect rate.

**Application Scoring Sheet**  
**FY 2015 Justice Assistance Grant (JAG)**

**Project Title:** \_\_\_\_\_

**Applicant Agency:** \_\_\_\_\_ **Application No.** \_\_\_\_\_

<b>Problem Statement:</b>	<b>Total = 15</b>	<b>Score =</b>
<i>Adequately describes the problem?</i> <i>Relevant supporting data provided?</i> <i>Describes the significance or importance of the problem?</i> <i>Identifies whom the problem impacts? For example, agency, criminal justice system, community?</i> <i>Was problem previously addressed? If so, what were the results?</i>		
<i>Strengths:</i>		
<i>Weaknesses:</i>		
<b>Strategy:</b>	<b>Total=40</b>	<b>Score=</b>
<i>Is the strategy clear?</i> <i>Are the goals clearly defined and realistic?</i> <i>Are the objectives specific, measurable, and achievable?</i> <i>Are the activities relevant, appropriate?</i> <i>Is the project do-able, given the proposed activities and available resources?</i> <i>Project schedule/timeline included?</i> <i>Do the activities demonstrate how objectives will be accomplished?</i> <i>Does it define the agency and personnel that will manage and work on the project?</i>		
<i>Strengths:</i>		
<i>Weaknesses:</i>		

<b>Performance Indicators/Outcome Measures:</b>	<b>Total=20</b>	<b>Score=</b>
<i>Identifies data to be collected? Are data elements linked to goals, objectives, &amp; activities? Are the performance indicators an explicit measure of expected effects or results?</i>		
<i>Strengths:</i>		
<i>Weaknesses:</i>		
<b>Budget Detail &amp; Explanation:</b>	<b>Total=15</b>	<b>Score=</b>
<i>Reasonable costs? Allowable costs? Budget items relevant to, necessary for the activities? Sufficient budget detail/explanation has been provided? Computations correct?</i>		
<i>Strengths:</i>		
<i>Weaknesses:</i>		
<b>Probability to improve the criminal justice system:</b>	<b>Total=10</b>	<b>Score=</b>
<i>Explanation of expected benefits? Impact of project? Is there a sustainability plan?</i>		
<i>Strengths:</i>		
<i>Weaknesses:</i>		
<b>Total Score=</b>		

Lead Specialist for Review Panel: \_\_\_\_\_

Reviewer: \_\_\_\_\_ Date \_\_\_\_\_

DEPARTMENT OF THE ATTORNEY GENERAL  
Crime Prevention and Justice Assistance Division

**CERTIFICATION OF 10% DE MINIMIS INDIRECT COST RATE**

Any Grantee that proposes to use federal grant funds to pay for indirect costs but has never received a federally negotiated indirect cost rate may elect to charge a de minimis rate of 10% of its modified total direct costs (MTDC) which may be used indefinitely. (2 CFR § 200.414) In order to charge a de minimis rate of 10% of its MTDC, the Grantee must submit this certification form to the Department of the Attorney General.

I certify that the \_\_\_\_\_ (name of Grantee) meets the following eligibility criteria to use the 10% de minimis indirect cost rate:

1. The Grantee has never received a Federally-negotiated indirect cost rate for any federal awards.
2. The Grantee has received less than \$35 million in direct federal funding for the fiscal year requested.

In addition, the undersigned certifies on behalf of the Grantee that:

1. The de minimis rate of 10% will be applied to the Modified Total Direct Costs (MTDC) which means all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award).
2. The MTDC will exclude equipment, capital expenditures, rental costs, and the portion of each subaward in excess of \$25,000. Other items will only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.
3. The project costs will be consistently charged as either indirect or direct and will not be double charged or inconsistently charged as both.
4. The documentation to support the methodology (as set forth in 2 CFR Part 200.403) used to determine the MTDC (as set forth in 2 CFR Part 200.68) will be provided as part of the Grantee's budget.
5. The proper use and application of the de minimis rate is the responsibility of \_\_\_\_\_ (name of Grantee). The Department of the Attorney General may perform an audit to ensure compliance with 2 CFR Part 200. If it is determined that the Grantee is inconsistently charging costs, or is not in compliance with 2 CFR Part 200, the Grantee may be required to return grant funds.

SUBMITTED BY:

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Name: \_\_\_\_\_  
(Head of Grantee Agency or Designee)

Title: \_\_\_\_\_

APPENDIX B