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ATTORNEY GENERAL
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DEPARTMENT OF THE ATTORNEY GENERAL
STATE OF HAWAII

IN THE MATTER OF THE INVESTIGATION
OF
TRICKLE UP PROGRAM, Inc.

ASSURANCE OF VOLUNTARY
DISCONTINUANCE AND COMPLIANCE

WHEREAS, Douglas S. Chin, Attorney General of the State of Hawaii, pursuant to his authority under sections 467B-9.3 and section 28-2.5, Hawaii Revised Statutes (“HRS”), has conducted an investigation into the annual financial reporting and annual fees paid by Trickle Up Program, Inc. (“TUP”) under chapter 467B, Hawaii Revised Statutes;

WHEREAS, the Attorney General accepts this Assurance of Voluntary Discontinuance and Compliance (“Assurance”) by TUP pursuant to section 467B-9.3(d), HRS;

WHEREAS, TUP is a Connecticut non-profit corporation whose federal employer ID Number is 06-1043042 and whose business address is 104 W. 27th Street, 12th Floor, New York, New York 10001;

WHEREAS, TUP is registered with the State of Hawaii, Department of the Attorney General (“Department”) pursuant to section 467B-2.1, HRS;

WHEREAS, pursuant to sections 467B-6.5(a) and (d), HRS, TUP is required to file annual reports with the Department within ten business days after it has filed its annual Form 990 with the Internal Revenue Service, and once the annual report has been filed with the Department, pay the applicable annual fees;

WHEREAS, pursuant to section 467B-6.5(e), HRS, a late filing fee of \$20.00 shall be imposed for each day during which a registered organization fails to timely file an annual report or timely pay its annual fees unless reasonable cause for the failure is shown; provided that the total amount imposed for each failure to timely file or failure to timely pay incident shall not exceed \$1,000;

WHEREAS, TUP failed to timely pay annual fees required of a registered charitable organization pursuant to section 467B-6.5(d), HRS, for 2008 and 2009;

WHEREAS, TUP failed to timely submit annual financial reports required by section 467B-6.5, HRS, for the period of 2010 through 2015;


This Assurance shall constitute the entire agreement between the Parties hereto. This Assurance cannot be changed or modified except in a writing duly executed by the parties hereto.

The person signing this Assurance on behalf of TUP represents that he or she is authorized to sign it on behalf of TUP.


It is further understood and agreed that the acceptance of this Assurance by the Attorney General shall not be deemed or construed as approval by the Attorney General of any activities of TUP and TUP shall not make any representation to the contrary.

This Assurance may be executed in counterparts.

WHEREFORE, the following signatures are affixed this 11 day of OCTOBER, 2017.

By: 
DOUGLAS S. CHIN
Attorney General
State of Hawaii

TRICKLE UP PROGRAM, INC.

By: 
Its: VP, PLANNING & OPERATIONS